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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

SLOAN et al.

Application No.: 09/929,735

Filed: August 13, 2001

For: COMMUNICATION INTERFACE FOR A  
FINANCIAL MODELING AND COUNSELING  
SYSTEM

Attorney Docket No.: 60021.375702

Examiner: Unassigned

Group Art Unit: Unassigned

Date: November 13, 2001

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Commissioner for Patents, Washington, DC 20231 on November 13, 2001.

Signed: Yvonne Mock

Yvonne Mock



Commissioner of Patents

Box: New Applications and Associated Papers and Fees  
Washington, DC 20231

Sir:

Transmitted herewith is a preliminary amendment in the above-identified application.



Applicant(s) believe that no (additional) Extension of Time is required; however, if it is determined that such an extension is required, Applicant(s) hereby petition that such an extension be granted and authorize the Commissioner to charge the required fees for an Extension of Time under 37 CFR 1.136 to Deposit Account No. 02-3964.



If the required fees are missing or any additional fees are required to facilitate filing the enclosed response, please charge such fees or credit any overpayment to Deposit Account No. 02-3964 (Order No. 60021.375702). A copy of this sheet is enclosed.

Respectfully submitted,  
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UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Sloan et al

Docket No: 60021-375702

Serial No: 09/929,735

Group Art Unit: Unassigned

Filed: August 13, 2001

For: "COMMUNICATIONS INTERFACE FOR A FINANCIAL MODELING  
AND COUNSELING SYSTEM"

Initial Patent Examination Division  
Commissioner for Patents  
Washington, D.C. 20231

COPY OF PAPERS  
ORIGINALLY FILED

RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Responsive to the Notice of Incomplete Reply (Nonprovisional) mailed January 15, 2002,  
attached are the following documents:

1. 14 Sheets of informal drawings;
2. Copy of Notice of Incomplete Reply (Nonprovisional); and
3. A check in the amount of \$920.00 for the payment of a three month extension fee for  
a large entity.
4. Please date stamp and return to us the enclosed postcard as confirmation of  
receipt of these papers.

The Commissioner is authorized to charge any required fees, or credit any overpayment  
to Deposit Account No. 02-3964.

02/25/2002 HDENESS1 00000045 09929735

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920.00 DP

Respectfully submitted,

Dated: January 28, 2002

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CERTIFICATE OF MAILING (37 CFR 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited on Jan. 28, 2002, with  
the U.S. Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C., 20231.

Date: January 28, 2002

Patricia Beilmann



UNITED STATES PATENT AND TRADEMARK OFFICE

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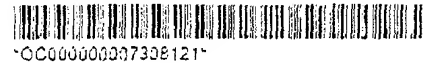
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/929,735	08/13/2001	Ronald E. Sloan	60021.375702

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CONFIRMATION NO. 1151

FORMALITIES LETTER



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Date Mailed: 01/15/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 01/04/2002 to the Notice to File Missing Parts (Notice) mailed 09/14/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm (1 inch), a left side margin of at least 2.5 cm (1 inch), a right side margin of at least 1.5 cm (5/8 inch), and a bottom margin of at least 1.0 cm (3/8 inch);

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE